



PTO-1449 (Modified)	ATTY. DOCKET NO.	SERIAL NUMBER
DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	03493.00130	TBA 09/9/06648
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	APPLICANT	
	BIRK, et al.	
	FILING DATE	GROUP ART UNIT
	July 30, 2001	TBA

U.S. PATENT DOCUMENTS

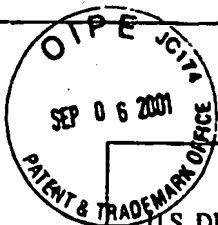
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE

FOREIGN PATENT DOCUMENTS

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

79	Demonstration and Application of a Monolithic Two-PONs-In-One Device, Yuan P. Li, Lucent Technologies, Bell Laboratories ECOC'96, Oslo, pgs.2.123-2.126
79	Lightwave Systems with Optical Amplifiers, Journal of Lightwave Technology, Vol. 7, No. 7, July 1989, pgs. 1071-1082, N.A. Olsson
79	An NxN Optical Multiplexer Using a Planar Arrangement of Two Star Couplers, IEEE Photonics Technology Letters, Vol. 3, No. 9, September 1991, pgs. 812-815, 1991, C. Dragone
79	Operational Demonstration and Filter Alignment Study of Multiple Broadcast Video Delivery on a WDM Passive Optical Network, IEEE Photonics Technology Letter, Vol. 10, No. 9, September 1998, pgs. 1331-1333, K.C. Reichmann et al.



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<i>Tw</i>	Experimental WDM Upgrade of a PON Using an Arrayed Waveguide Grating, 22 nd European Conference on Optical Communication '96, Oslo, pgs. 3.31-3.34, Hilbk U. et al.
<i>Tw</i>	Long-Reach Broadcast Digital Video Using Broad Sources and Dispersion Compensation, ECOC '98, 20-24, September 1998, Madrid, Spain, pgs. 371-372, K.C. Reichmann et al.
<i>Tw</i>	High-Speed Point-to-Point and Multiple Broadcast Services Delivered over a WDM Passive Optical Network, IEEE, Photonics Technology Letters, Vol. 10, No. 9, September 1998, pgs. 1328-1330, P. P. Iannone et al.

EXAMINER <i>Dzung Tran</i>	DATE CONSIDERED <i>09/01/05</i>
EXAMINER: Initial citation if reference was considered. Draw line through citation if not in conformance to MPEP 609 and not considered. Include copy of this form with next communication to applicant.	



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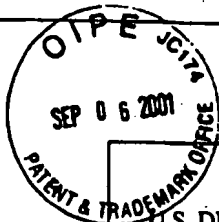
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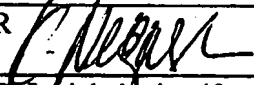

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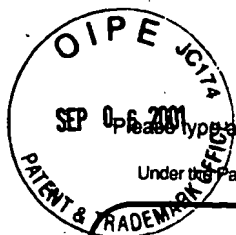


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0360 09/10/01 0400 #5

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/916,648	
	Filing Date	07/30/01	
	First Named Inventor	BIRK et al.	
	Group Art Unit	TBA	
	Examiner Name	TBA	
Total Number of Pages in This Submission	5	Attorney Docket Number	03493.00130

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Preliminary Amendment
Remarks		
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual name	Catherine A. Ferguson	
Signature	<i>Catherine A. Ferguson</i>	
Date	September 6, 2001	

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: _____			
Typed or printed name	_____		
Signature	_____	Date	_____

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

BIRK et al.

Serial No.: TBA

Filed: July 30, 2001

For: SIMULTANEOUS DELIVERY OF 1280
VIDEO OVER Q WDM PASSIVE OPTICAL
DEVICE

Atty. Docket No.: 03493.00130 #5

Group Art Unit: TBA

Examiner: TBA

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with Applicants' duty of disclosure, the following information is submitted for consideration by the United States Patent and Trademark Office in connection with the above-captioned application. The information is identified on the attached PTO 1449 form.

Applicants do not waive any right to take appropriate action to establish patentability over the listed documents should they be applied as references against the claims of the present application.

It is respectfully requested that the Examiner fully consider each of the documents, initial the enclosed Form PTO-1449 in the appropriate place to indicate that the document has been considered, and return a copy of the initialed form to the undersigned in accordance with MPEP Section 609.

Applicants believe that no fee is necessary pursuant to 37 C.F.R. § 1.97(b). However, if a fee is due, the Office is authorized to charge Deposit Account No. 19-0733.

Respectfully submitted,

BANNER & WITCOFF, LTD.

Dated: September 6, 2001

Catherine A. Ferguson
Catherine A. Ferguson
Registration No. 40,877



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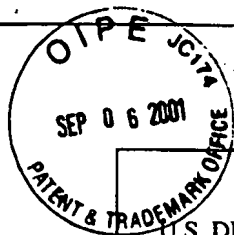
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